

Fig. 1

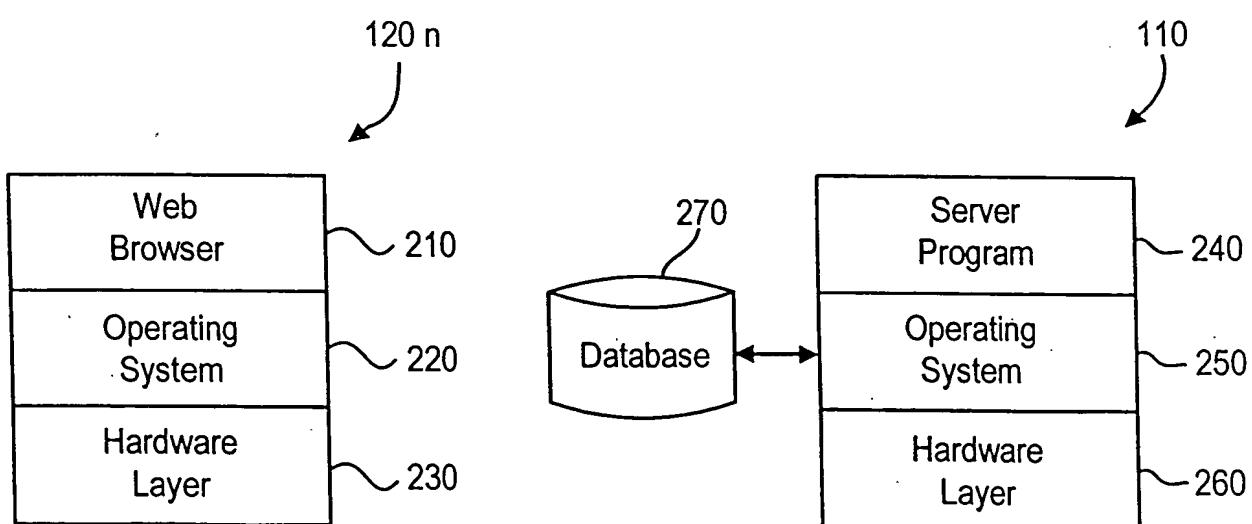


Fig. 2A

Fig. 2B

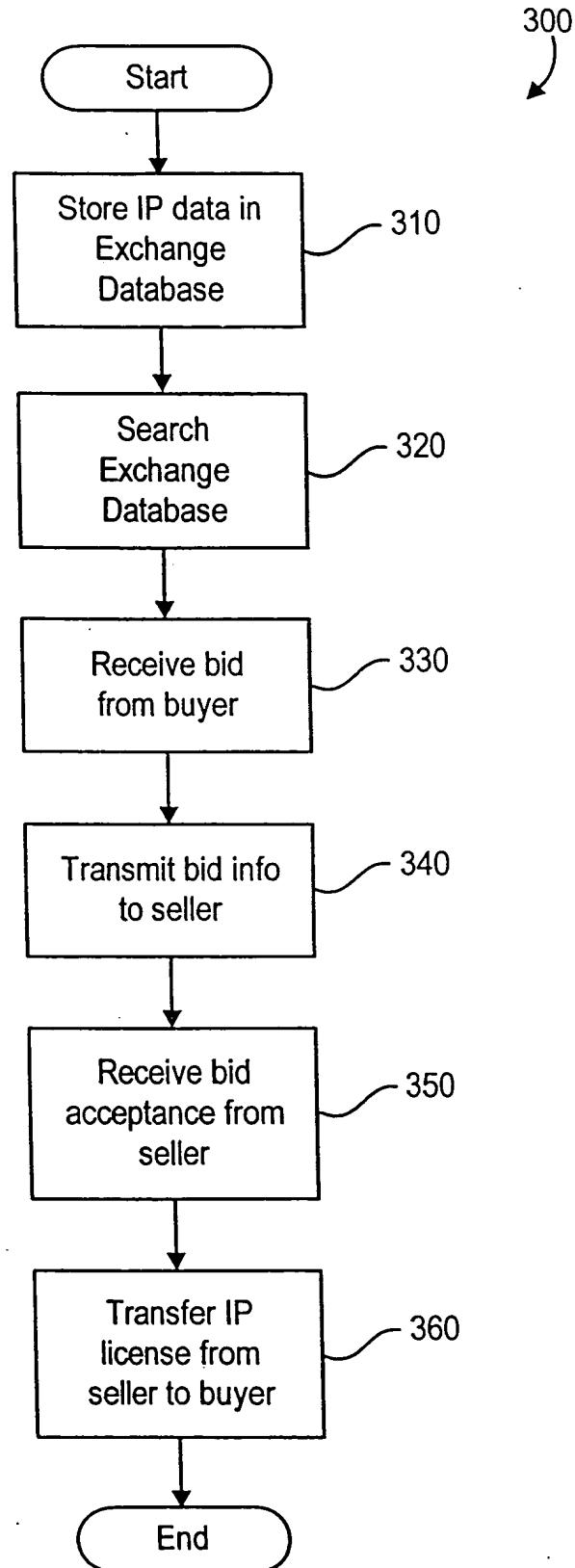


Fig. 3

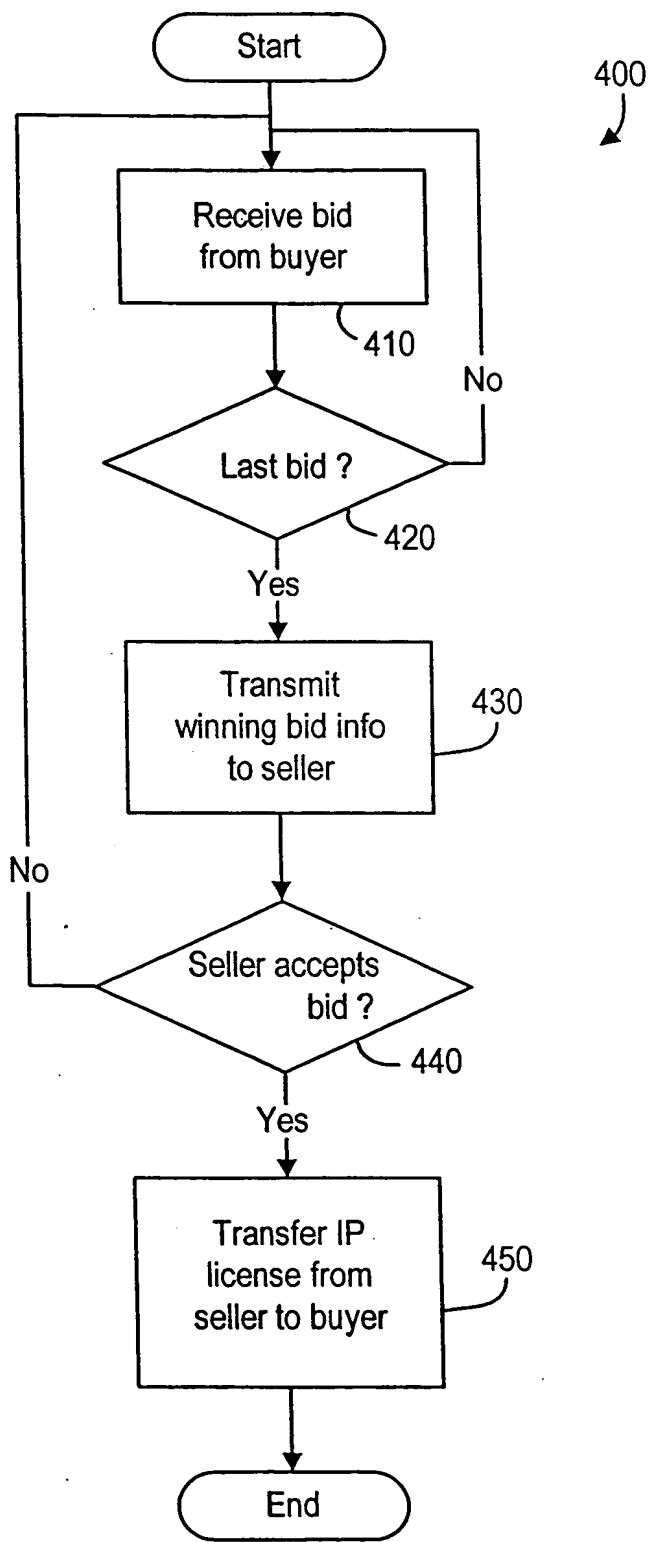


Fig. 4

Fig.5A

The Patent & License Exchange Demonstration - "Bidding for the Future" - Microsoft Internet Explorer	
<input type="checkbox"/> <i>Fabio, it is easy to add, modify, or withdraw patents from the pIX auction</i>	
<input type="checkbox"/> <i>pl(X)</i>	
<input type="checkbox"/> Message Board	<input type="checkbox"/> Patent Inventory
<input type="checkbox"/> Search Activity	<input type="checkbox"/> Auction Activity
<input type="checkbox"/> Escrow Activity	<input type="checkbox"/> After Market Activity
<input type="checkbox"/> License Admin	<input type="checkbox"/> Electronic Library
<input type="checkbox"/> Admin	<input type="checkbox"/> Information Survey
<input type="checkbox"/> <i>12 mos</i>	
<input type="checkbox"/> <i>1mos</i>	
<input type="checkbox"/> <i>pIX Transaction</i>	
<input type="checkbox"/> <i>pIX Transaction</i>	
<input type="checkbox"/> <i>US 4501726 Schroeder et al.</i>	
<input type="checkbox"/> <i>US 4904479 Illum CA 1252950</i>	
<input type="checkbox"/> <i>Non Patent Citations:</i>	
<input type="checkbox"/> <i>Kossovsky, M et al. J of Biophysical Chemistry, 1992: 3:45-65</i>	
<input type="checkbox"/> <i>Art, Sound, and Video Files:</i>	
<input type="checkbox"/> <i>Video presentation by inventor</i>	
<input type="checkbox"/> <i>Asking Price:</i>	
<input type="checkbox"/> <i>\$ 150,000</i>	
<input type="checkbox"/> <i>Royalty Rate:</i>	
<input type="checkbox"/> <i>5%</i>	
<input type="checkbox"/> <i>Currency:</i>	
<input type="checkbox"/> <i>Dollar</i>	
<input type="checkbox"/> <i>License Terms:</i>	
<input type="checkbox"/> <i>Fields of use exclude vaccines and insulin</i>	
<input type="checkbox"/> <i>on oracle</i>	
<input type="checkbox"/> <i>The Patent & License Exchange is the first business to business global internet-based auction facility for the safe and efficient transfer of quality patents and licenses</i>	
<input type="checkbox"/> <i>225 S.Lake Avenue, Suite 300 Pasadena, California 91101-3009 1.626.405.0690 fax 1.626.405.0638</i>	

500

Fig.5B

< Exit >

The Patent & License Exchange Demonstration - "Bidding for the Future" - Microsoft Internet Explorer

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Message Board	Patent Inventory	Search Activity	Auction Activity	Escrow Admin	After Market Admin	Electronic Library	Information Survey
---------------	------------------	-----------------	------------------	--------------	--------------------	--------------------	--------------------

Auction Expiration Date:

How products would be better than competitive technologies:

How products would be cheaper than competitive technologies:

How products would be faster than competitive technologies:

How products would have a competitive advantage over other technologies:

Field of Use Codes:

The cost of raw materials is low, and comparable activity can be obtained with a

Production is self assembling and consists of simple non-covalent solution chemistry

End products would show higher levels of activity, longer stability, and improved

cosmetics, pharmaceuticals, flavor agents, vaccines, nutraceuticals

Fig. 5C **On oracle** **The Patent & License Exchange is the first business to business global internet-based auction facility for the safe and efficient transfer of quality patents and licenses** **Exit**

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The Patent & License Exchange Demonstration - "Bidding for the Future" - Microsoft Internet Explorer

piX matches technology solutions to technology buyers globally 24 hours a day

piX

Message Patent Search Auction Escrow After Market Electronic piX piX
Board Inventory Activity Activity License Admin Library Information Survey

Fri, 2 Jul 1999 Portfolio: Man's Best University ▾

Currency: **Dollar** ▾ Fri, 2 Jul 1999 19:25:58 GMT

Search

Basic Search
Pre-Filtered Searches
Display Recent Inventory Additions
Display Related Patents
Display Related Patents with Active Bids
Display Related Patents with Sale Pending
Display Related Patents Priced at a Discount

Advanced Search
Browse

The piX market-oriented database allows buyers to find what they really want – solutions.



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The Patent & License Exchange Demonstration - "Bidding for the Future" - Microsoft Internet Explorer [-] X

The pIX advanced search tool is a powerful resource for technology transfer professionals. pIX

Message Board	Patent Inventory	Search Activity	Auction Activity	Escrow Activity	After Market Transaction	Electronic Transaction	pIX Transaction
				pIX			

Currency: <input checked="" type="checkbox"/> Dollar	Fri, 2 Jul 1999 19:27:07GMT	Portfolio: <input checked="" type="checkbox"/> Man's Best University	◀	▶		

Patent Inventor:						
Patent Title:						
Abstracted Text for Field of Invention:						
Non-patent Prior Art Citation:						
Patent Prior Art Citation:						
Claims:	<input checked="" type="checkbox"/> Nanoparticle					
Patents known to the Portfolio Manager as being closely related by scope to the patent being disclosed in this record:						

700

Fig. 7A
 On oracle

◀ Exit ▶

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<input checked="" type="checkbox"/> The Patent & License Exchange Demonstration - "Bidding for the Future" - Microsoft Internet Explorer	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>																
<p><i>The p/X advanced search tool is a powerful resource for technology transfer professional/s.</i></p> <table border="1"> <tr> <td>Message</td> <td>Patent</td> <td>Search</td> <td>Auction</td> <td>Escrow</td> <td>After Market</td> <td>Electronic</td> <td>p/X</td> </tr> <tr> <td>Board</td> <td>Inventory</td> <td></td> <td>Activity</td> <td>Activity</td> <td>License</td> <td>Admin</td> <td>Information Survey</td> </tr> </table>				Message	Patent	Search	Auction	Escrow	After Market	Electronic	p/X	Board	Inventory		Activity	Activity	License	Admin	Information Survey
Message	Patent	Search	Auction	Escrow	After Market	Electronic	p/X												
Board	Inventory		Activity	Activity	License	Admin	Information Survey												
<p><i>p/X</i></p>																			
<p>being disclosed in this record:</p>																			
<p>How products would be better than competitive technologies:</p>																			
<p>How products would be cheaper than competitive technologies:</p>																			
<p>How products would be faster than competitive technologies:</p>																			
<p>How products would have a competitive advantage over other technologies:</p>																			
<p>Field of Use Codes:</p>																			
<p>R&D Time Invested to Date:</p>																			
<p><input checked="" type="checkbox"/> <i>On oracle</i></p>																			
<p>The Patent & License Exchange is the first business to business global internet-based auction facility for the safe and efficient transfer of quality patents and licenses</p>																			
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The Patent & License Exchange Demonstration - "Bidding for the Future" - Microsoft Internet Explorer

pi(X)

Message Board	Patent Inventory	Search Activity	Auction Activity	Escrow Activity	After Market License Admin	Electronic Library	piX Transaction	piX Transaction Survey
19:28:43 GMT								

Search Results

Issued	Title	Better	Faster	Cheaper	Patent No.
1995	Biologically active composition having a nanocrystalline core	Longer lasting stable biomolecule.	Reacts in an overnight production of 50L, or less , unsupervised	The raw ingredients used to produce nano-particles are simple and inexpensive salts	US 5462750
1995	Biochemically active agents for chemical catalysis and cell receptor activation	Produces more stable biomolecules overnight	Can be produced based on commonly available raw ingredients	This technology is based on commonly available raw ingredients	US 5460830
1995	Targeted transfection nanoparticles	Longer lasting biomolecule to improve therapy	Lasts longer with more stable biomolecule films	Left to react overnight It is unlikely that with production of the raw ingredients will balance the next day	US 5460831
1997	Reduced and controlled surface binding of biologically active molecules	Overnight self assembling chemistry	Overnight self assembling chemistry	Simple raw chemicals and ingredients are the key competitive advantage	US 5639505

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The Patent & License Exchange Demonstration - "Bidding for the Future" - Microsoft Internet Explorer					
<input checked="" type="checkbox"/> <i>Buyers will bid on patents secure in the knowledge that the patents are reasonably priced and insured - make a bid!</i>					
<input type="checkbox"/> Message <input type="checkbox"/> Patent Board <input type="checkbox"/> Search Inventory		<input type="checkbox"/> Auction Activity <input type="checkbox"/> Escrow Activity <input type="checkbox"/> After Market License Admin	<input type="checkbox"/> 24 mos <input type="checkbox"/> Typical Transaction	<input type="checkbox"/> 7 mos <input type="checkbox"/> plX Transaction	<input type="checkbox"/> plX Transaction
<input type="checkbox"/> Currency: <input type="text" value="Dollar"/>		<input type="text" value="Fri, 2 Jul 1999 19:29:53GMT"/>	<input type="checkbox"/> Portfolio: <input type="text" value="Man's Best University"/>		
<input type="checkbox"/> Patent Number: <input type="text" value="US 5462750"/>		<input type="checkbox"/> Make Bid			
<input type="checkbox"/> Patent Title: <input type="text" value="Biologically active compositions having a nanocrystalline core"/>					
<input type="checkbox"/> Inventor(s): <input type="text" value="Kossovsky: Nir, Los Angeles, CA
Bunshah: Rointan F, Playa del Rey, CA"/>					
<input type="checkbox"/> Abstract: <input type="text" value="A biologically active composition made up of core particles having diameters of less than about 1000 nanometers which are coated with a layer which is designed to allow"/>					
<input type="checkbox"/> Claims: <input type="text" value="1. A composition of matter which is made by a process comprising the steps of"/>					
<input type="checkbox"/> <i>On oracle</i> The Patent & License Exchange is the first business to business global internet-based auction facility for the safe and efficient transfer of quality patents and licenses					
<input type="checkbox"/> <i>225 S Lake Avenue, Suite 300 Pasadena, California 91101-3009 1.626.405.0690 fax 1.626.405.0638</i>					

Fig. 10

The Patent & License Exchange Demonstration - "Bidding for the Future" - Microsoft Internet Explorer																																																												
<p>From one convenient screen, you can track the auction activity for your entire portfolio.</p> <table border="1"> <tr> <td>Message Board</td> <td>Patent Inventory</td> <td>Search Activity</td> <td>Auction</td> <td>Escrow</td> <td>After Market</td> <td>Electronic</td> <td>plX</td> <td>plX Transaction</td> </tr> <tr> <td colspan="9"> <table border="1"> <tr> <td>Currency: Dollar</td> <td>Fri, 2 Jul 1999</td> <td>19:30:52 GMT</td> <td colspan="6">Portfolio: Man's Best University</td> </tr> <tr> <td colspan="7"> <table border="1"> <tr> <th colspan="2">Sell Side</th> <th colspan="3">Buy Side</th> <th colspan="4">Buy Side</th> </tr> <tr> <th><u>Patent No.</u></th> <th><u>Patent Title</u></th> <th><u>Portfolio</u></th> <th><u>Ask Price</u></th> <th><u>High Bid</u></th> <th><u>Portfolio Manager</u></th> <th><u>Auction Close</u></th> <th></th> </tr> <tr> <td>US 5462750</td> <td>Biologically active composition having a nanocrystalline core</td> <td>Man's Best University</td> <td>175,000</td> <td>150,000</td> <td>Mr. Fabio Marino</td> <td>1 Dec 99</td> <td></td> </tr> </table> </td> </tr> </table></td> </tr> </table>		Message Board	Patent Inventory	Search Activity	Auction	Escrow	After Market	Electronic	plX	plX Transaction	<table border="1"> <tr> <td>Currency: Dollar</td> <td>Fri, 2 Jul 1999</td> <td>19:30:52 GMT</td> <td colspan="6">Portfolio: Man's Best University</td> </tr> <tr> <td colspan="7"> <table border="1"> <tr> <th colspan="2">Sell Side</th> <th colspan="3">Buy Side</th> <th colspan="4">Buy Side</th> </tr> <tr> <th><u>Patent No.</u></th> <th><u>Patent Title</u></th> <th><u>Portfolio</u></th> <th><u>Ask Price</u></th> <th><u>High Bid</u></th> <th><u>Portfolio Manager</u></th> <th><u>Auction Close</u></th> <th></th> </tr> <tr> <td>US 5462750</td> <td>Biologically active composition having a nanocrystalline core</td> <td>Man's Best University</td> <td>175,000</td> <td>150,000</td> <td>Mr. Fabio Marino</td> <td>1 Dec 99</td> <td></td> </tr> </table> </td> </tr> </table>									Currency: Dollar	Fri, 2 Jul 1999	19:30:52 GMT	Portfolio: Man's Best University						<table border="1"> <tr> <th colspan="2">Sell Side</th> <th colspan="3">Buy Side</th> <th colspan="4">Buy Side</th> </tr> <tr> <th><u>Patent No.</u></th> <th><u>Patent Title</u></th> <th><u>Portfolio</u></th> <th><u>Ask Price</u></th> <th><u>High Bid</u></th> <th><u>Portfolio Manager</u></th> <th><u>Auction Close</u></th> <th></th> </tr> <tr> <td>US 5462750</td> <td>Biologically active composition having a nanocrystalline core</td> <td>Man's Best University</td> <td>175,000</td> <td>150,000</td> <td>Mr. Fabio Marino</td> <td>1 Dec 99</td> <td></td> </tr> </table>							Sell Side		Buy Side			Buy Side				<u>Patent No.</u>	<u>Patent Title</u>	<u>Portfolio</u>	<u>Ask Price</u>	<u>High Bid</u>	<u>Portfolio Manager</u>	<u>Auction Close</u>		US 5462750	Biologically active composition having a nanocrystalline core	Man's Best University	175,000	150,000	Mr. Fabio Marino	1 Dec 99	
Message Board	Patent Inventory	Search Activity	Auction	Escrow	After Market	Electronic	plX	plX Transaction																																																				
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Currency: Dollar	Fri, 2 Jul 1999	19:30:52 GMT	Portfolio: Man's Best University																																																									
<table border="1"> <tr> <th colspan="2">Sell Side</th> <th colspan="3">Buy Side</th> <th colspan="4">Buy Side</th> </tr> <tr> <th><u>Patent No.</u></th> <th><u>Patent Title</u></th> <th><u>Portfolio</u></th> <th><u>Ask Price</u></th> <th><u>High Bid</u></th> <th><u>Portfolio Manager</u></th> <th><u>Auction Close</u></th> <th></th> </tr> <tr> <td>US 5462750</td> <td>Biologically active composition having a nanocrystalline core</td> <td>Man's Best University</td> <td>175,000</td> <td>150,000</td> <td>Mr. Fabio Marino</td> <td>1 Dec 99</td> <td></td> </tr> </table>							Sell Side		Buy Side			Buy Side				<u>Patent No.</u>	<u>Patent Title</u>	<u>Portfolio</u>	<u>Ask Price</u>	<u>High Bid</u>	<u>Portfolio Manager</u>	<u>Auction Close</u>		US 5462750	Biologically active composition having a nanocrystalline core	Man's Best University	175,000	150,000	Mr. Fabio Marino	1 Dec 99																														
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<p>On oracle</p>																																																												

1100

Fig. 11

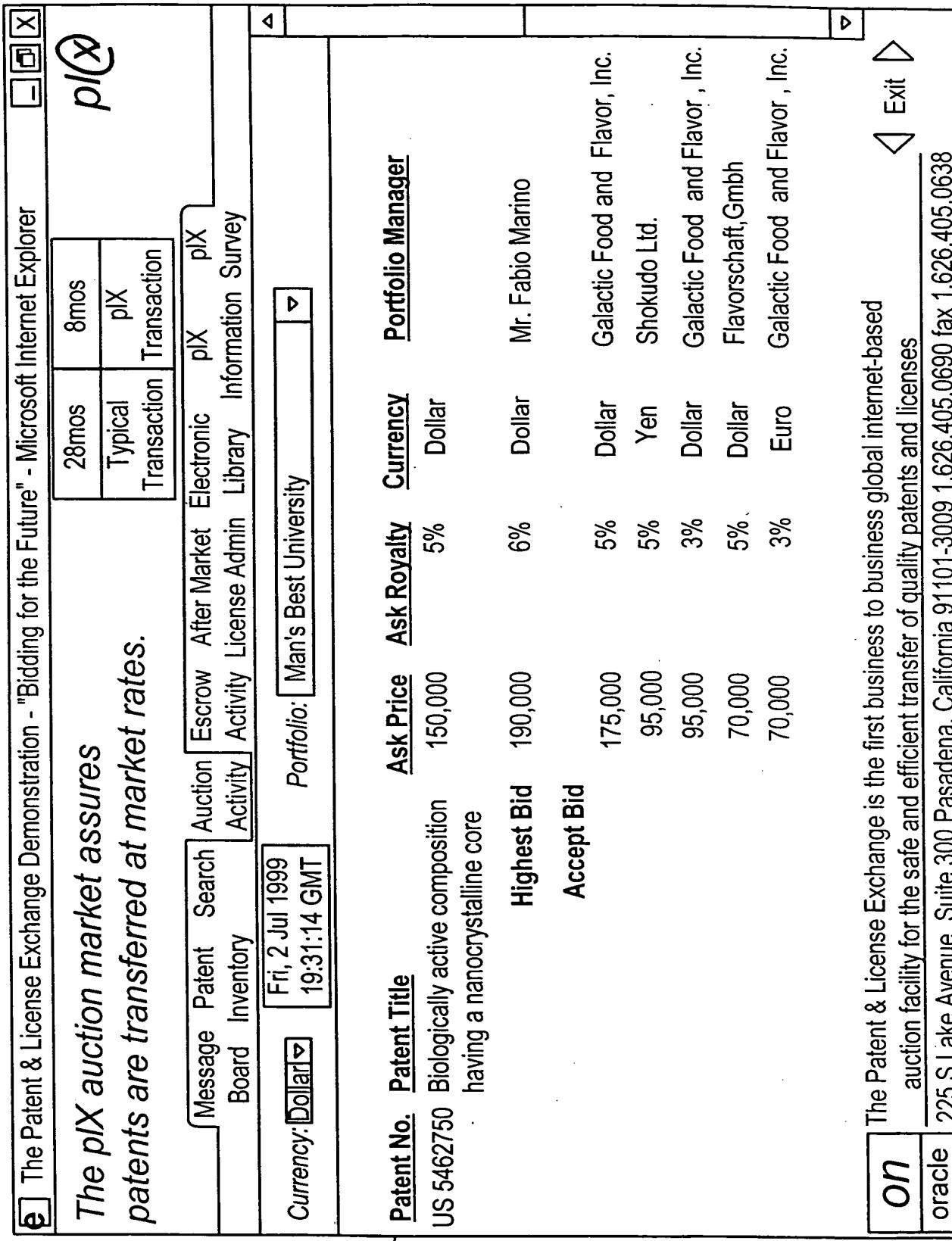


Fig. 12

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The pIX translates the auction terms into a document for final negotiations.

Message Board	Patent Inventory	Search Activity	Auction	Escrow	After Market	Electronic	pIX	pIX

28mos 8mos
Typical pIX
Transaction Transaction

19:32:16 GMT

◀ ▶

1300

Accepting a bid moves the transaction into the document preparation and due diligence phase.

o o

Buy Side

US 5462750: Biologically active system having a nanocrystalline core.

Seller Responds: Bid Accepted. Sale Pending.

Sell Side

DE 3456572: Method for splicing reverse transcriptase into gene therapy vectors.

Buyer Responds: Sale Pending.

WO 4567894: Superconducting alloys from polymer films anodized with doped ceramics.

Buyer Responds: Sale Pending.

System

</No Messages>

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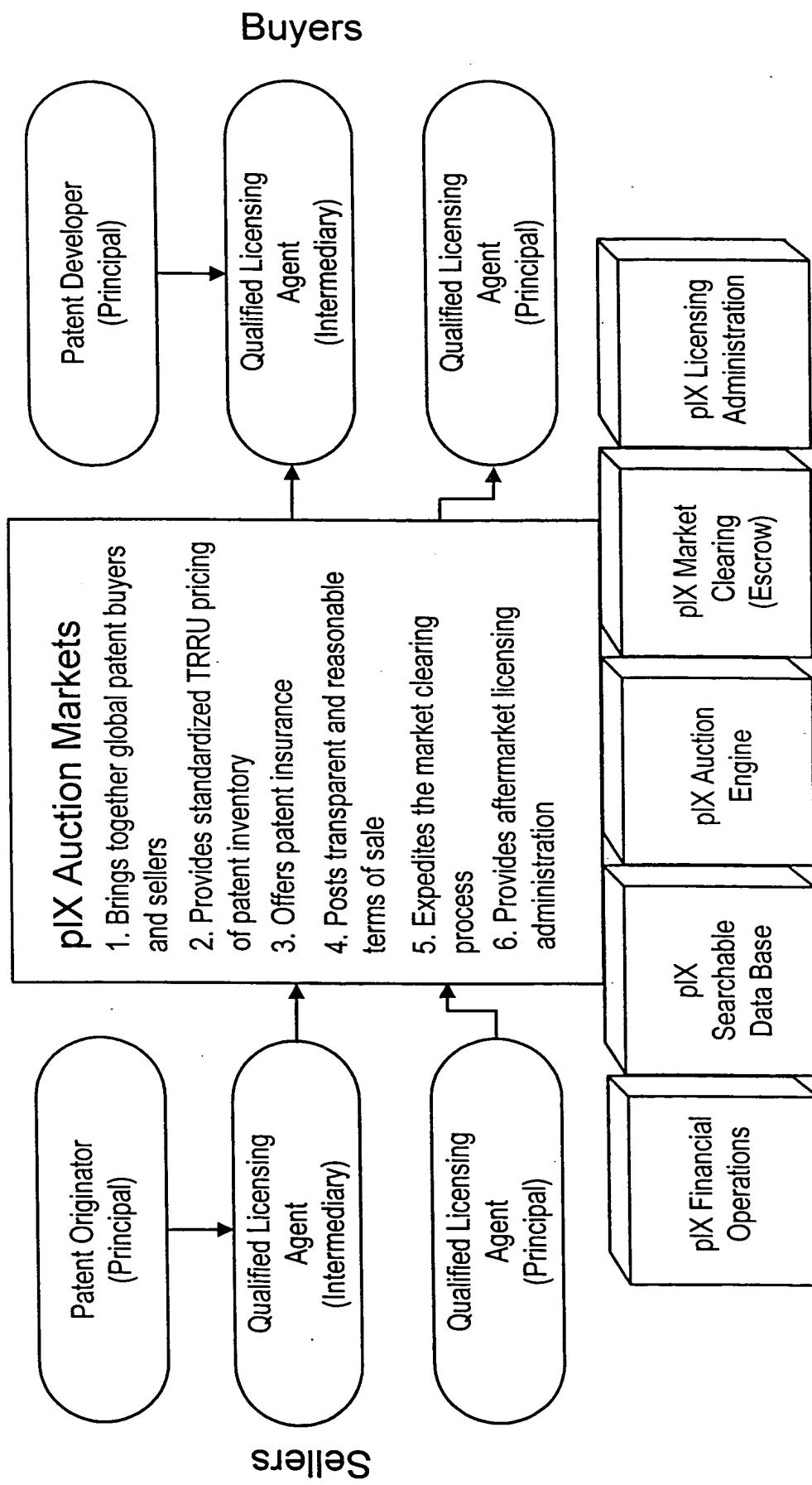
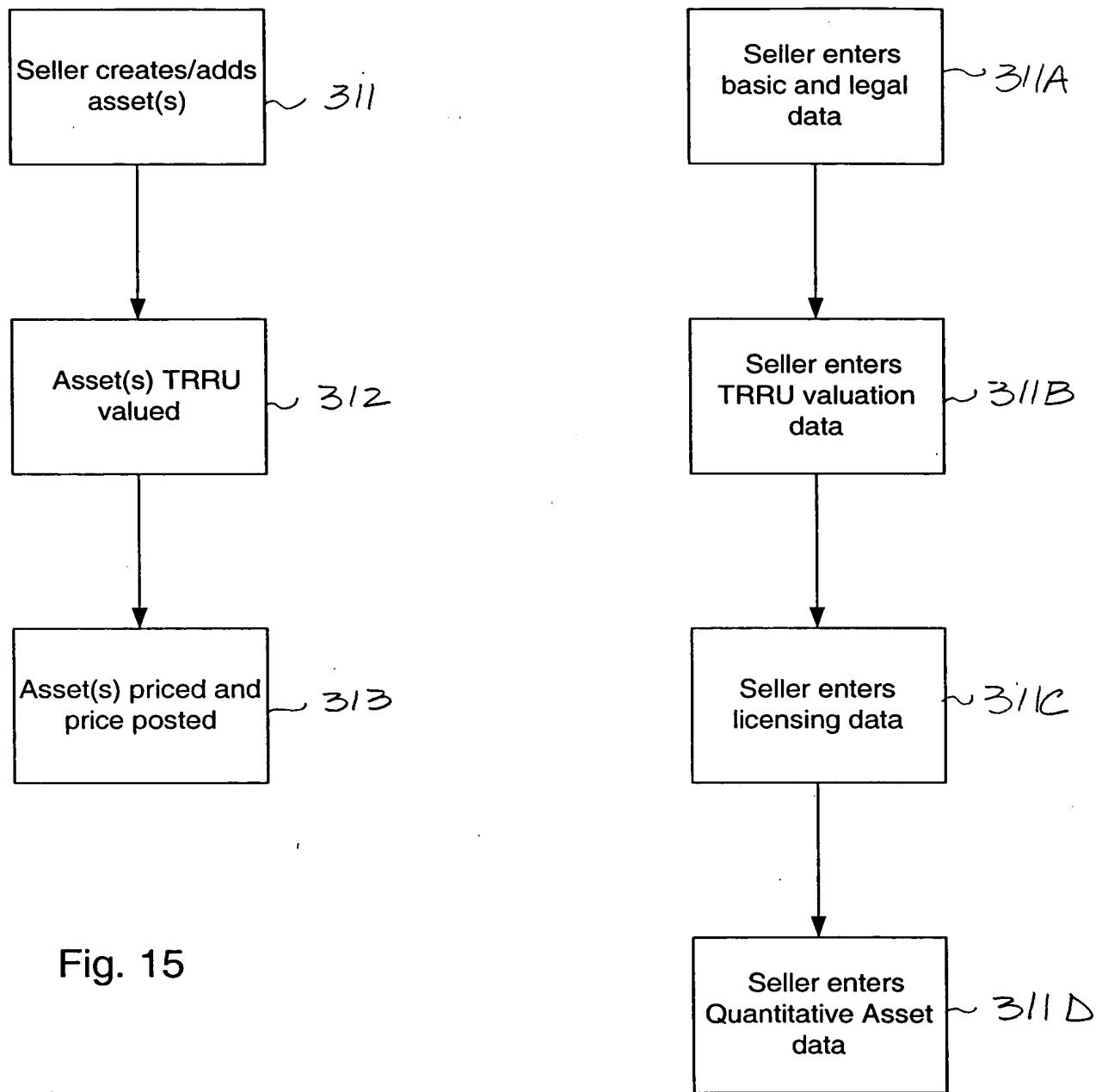


Fig. 14



Trademark Info

Owner / Applicant Name:	<input type="text"/>	Amendment to allege use filing?	<input type="checkbox"/>	Describe: <input type="text"/>
Product Name:	<input type="text"/>	Other goods used but not registered:	<input type="checkbox"/>	<input type="text"/>
Mark Description:	<input type="text"/>	Has mark been published?	<input type="checkbox"/>	<input type="text"/>
Copyright Image:	<input type="text"/>	Was mark allowed?	<input type="checkbox"/>	<input type="text"/>
Serial Number:	<input type="text"/>	Is there a notice of allowance?	<input type="checkbox"/>	<input type="text"/>
Registration Number:	<input type="text"/>	Supplement register?	<input type="checkbox"/>	<input type="text"/>
Registered Goods:	<input type="text"/>	What is proof of continuing use?	<input type="checkbox"/>	<input type="text"/>
Mark in Use?	<input type="checkbox"/> Describe: <input type="text"/>	Any known conflicts or potential conflicts with other marks?	<input type="checkbox"/>	<input type="text"/>
Any prior granted license or assignments:	<input type="text"/>	Allegations of infringement or conflicts of ownership?	<input type="checkbox"/>	<input type="text"/>
Registration:	<input type="text"/>	Any joint or concurrent use?	<input type="checkbox"/>	<input type="text"/>
Date of Filing & Registration:	<input type="text"/>	Use in business name?	<input type="checkbox"/>	<input type="text"/>
Country(s) where registration is filed and allowed:	<input type="text"/>	Use in domain name?	<input type="checkbox"/>	<input type="text"/>
Date of Filing & Registration:	<input type="text"/>	Restrictions on right to use?	<input type="checkbox"/>	<input type="text"/>
Country(s) where registration is filed and allowed:	<input type="text"/>	First date of use in Internet commerce?	<input type="checkbox"/>	<input type="text"/>
Additional Countries where mark is used:	<input type="text"/>	Has trademark achieved "incontestable" status?	<input type="checkbox"/>	<input type="text"/>
Basis for filing:	<input type="text"/>	On what date(s) must trademark be renewed to remain in force?	<input type="checkbox"/>	<input type="text"/>

Fig. 16A

Copyright Info

Owner / Applicant Name:	<input type="text"/>	First Date of Distribution:	<input type="text"/>
Description:	<input type="text"/>	Date of application of registration:	<input type="text"/>
Copyright Image:	<input type="text"/>	Date of registration in United States (US Copyrights only):	<input type="text"/>
Type of Work:	<input type="text"/>	First date of publication in the United States:	<input type="text"/>
First Date of Creation:	<input type="text"/>	First date of publication outside the United States:	<input type="text"/>
Are there related works?	<input type="checkbox"/> Describe: <input type="text"/>	Are there joint owners or co-authors?	<input type="checkbox"/> Describe: <input type="text"/>
Are there descriptive works?	<input type="checkbox"/> Describe: <input type="text"/>	Are there any prior granted licenses?	<input type="checkbox"/> Describe: <input type="text"/>
Are there prior licenses or assignments?	<input type="checkbox"/> Describe: <input type="text"/>	Are there allegations of infringement or conflicts in ownership?	<input type="checkbox"/> <input type="text"/>
Are there restrictions on right to use?	<input type="checkbox"/> Describe: <input type="text"/>		

Fig. 16B

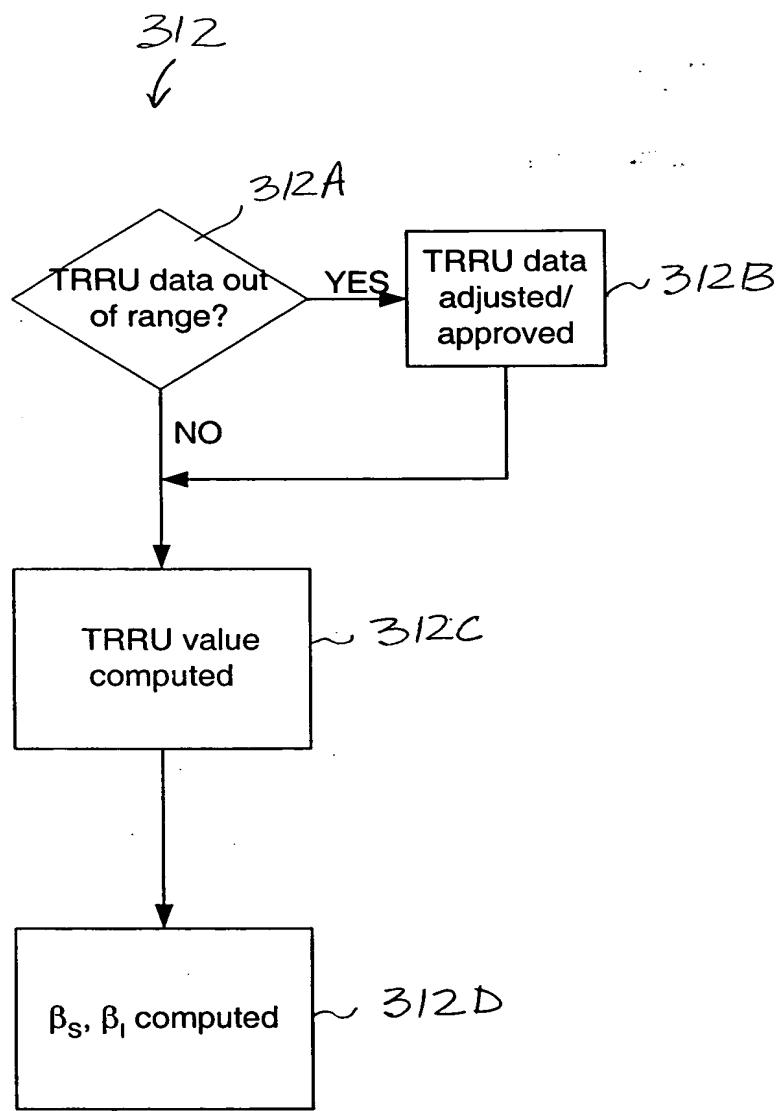


Fig. 17B

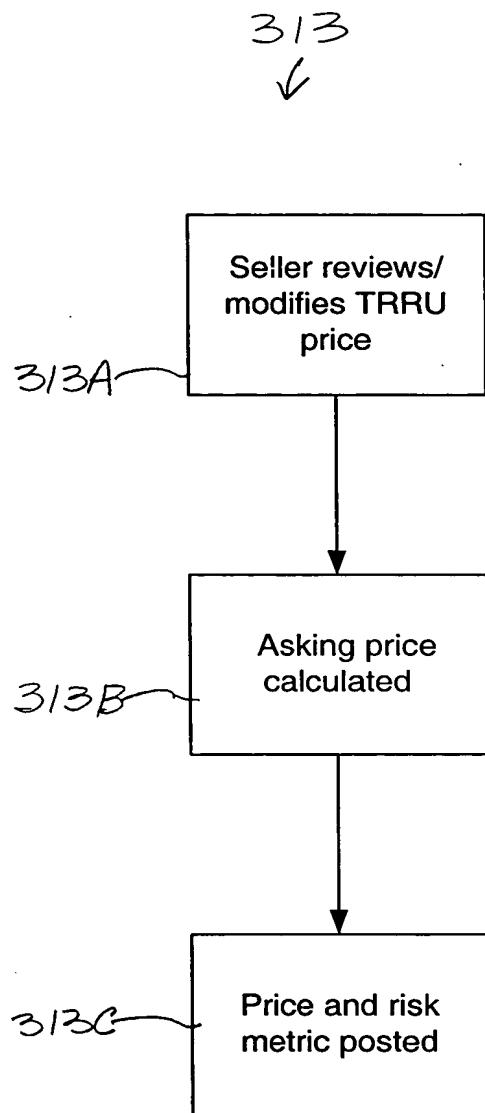


Fig. 17C

Add an Asset

[Save as Draft](#) | [Save](#) | [Cancel](#) | [Help](#)

This is the simple 5-step process of adding an asset on (pl-x.com).

The steps are:

- Basic Info
- Legal
- Conditions
- Financial
- Attributes

Feel free to click around to examine your choices, none of this information will be saved.

When you are finished, just click the close button to return to the demo menu.

Basic Info **Legal** **Conditions** **Financial** **Attributes**

Add to Portfolio

Portfolio #1

Patent Name:

Patent Number:

Country Issued: Japan

If application is pending, what is the status?
Has it been published?
 Yes No

Published Description:

Short Descriptive Title:

Internal Reference Number:

pl-x.com Sectors: Agri-biotech, nutriceuticals, food formulas and food additives

pl-x.com Pure Play Category: Herbal/botanical extract technology

Other:

Proven Applications:

Potential Applications:

Competitive Advantage:

Attach a File:

Create

Fig. 18 A

Add an Asset

[Save as Draft](#) | [Save](#) | [Cancel](#) | [Help](#)

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The steps are:

- Basic Info
- Legal
- Conditions
- Financial
- Attributes

Feel free to click around to examine your choices; none of this information will be saved.

When you are finished, just click the close button to return to the demo menu.

Basic Info **Legal** **Conditions** **Financial** **Attributes**

Relevant Regulatory Authority? Relevant regulatory approvals applied for and approved in part or pending

Litigation History? Yes No

If yes, then describe:

Litigation Pending Action? Yes No

If yes, then describe:

Legal Joint or Concurrent Users?

Attach a File:

Fig. 18B

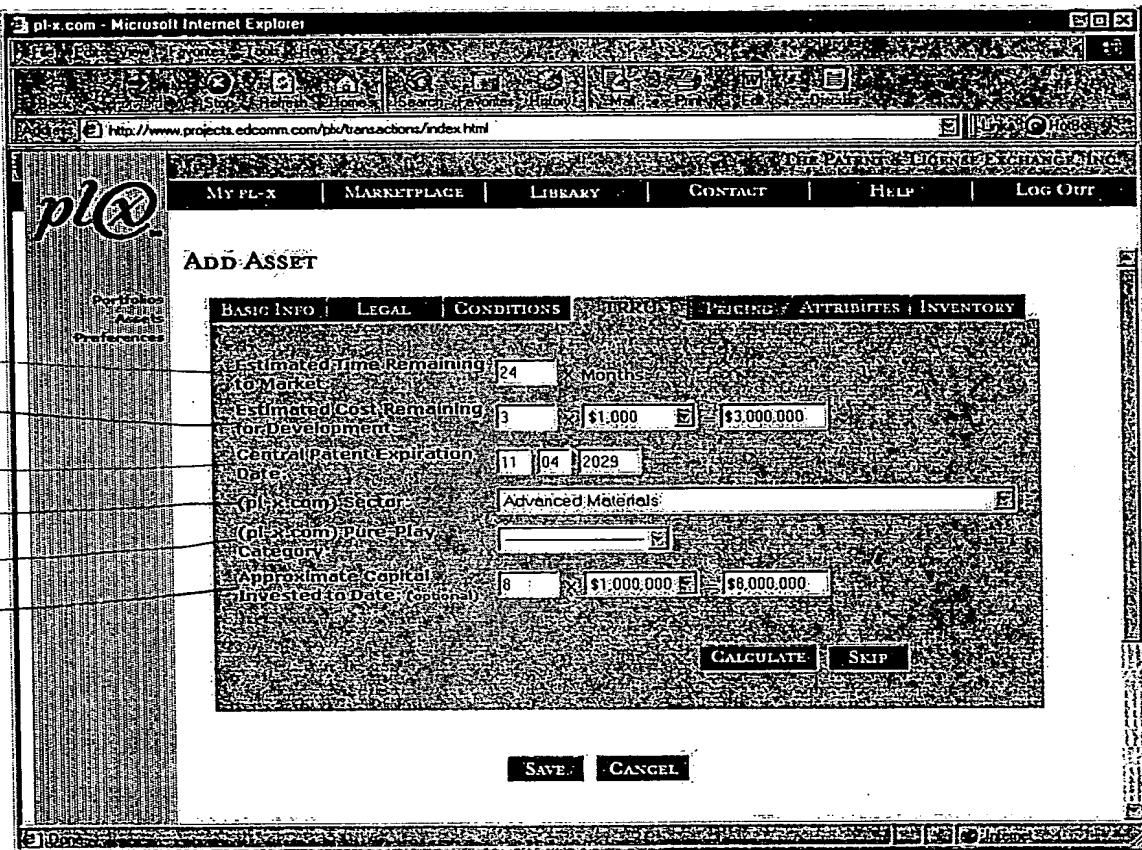


Fig. 18c

[Save as Draft](#) | [Save](#) | [Cancel](#) | [Help](#)

Add an Asset

This is the simple 5-step process of adding an asset on (pl-x.com).

The steps are:

- Basic Info
- Legal
- Conditions
- Financial
- Attributes

Feel free to click around to examine your choices, none of this information will be saved.

When you are finished, just click the close button to return to the demo menu.

Basic Info **Legal** **Conditions** **Financial** **Attributes**

Type of License: Exclusive Included in offer (details to be specified) Assignable without consent Licensee may grant sublicenses (details to be specified)

Attach a File:

Fig. 18D

Add an Asset

Save as Draft | Save | Cancel | Help

This is the simple 5-step process of adding an asset on (pl-x.com).

The steps are:

- Basic Info
- Legal
- Conditions
- Financial
- Attributes

Feel free to click around to examine your choices, none of this information will be saved.

When you are finished, just click the close button to return to the demo menu.

Basic Info | Legal | Conditions | Financial | Attributes

1. Category: Chemistry

Measurement & Unit: molar entropy, molar heat capacity - J/(mol*K)

Min: 10
Max: 1000

Comments:

2. Category: Chemistry

Measurement & Unit: surface tension - N/m

Min: 12
Max: 25

Comments:

3. Category: Dynamics

Measurement & Unit: viscosity (dynamic) - Pa*s

Min: 65
Max: 650

Comments:

4. Category: Chemistry

Measurement & Unit: thermal conductivity - W/(m*K)

Min: 100
Max: 150

Comments:

5. Category: General

Measurement & Unit: percentage - %

Min: 5
Max: 8

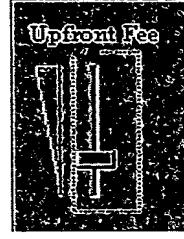
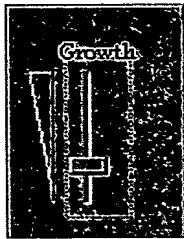
Comments:

Attach a File:

Closes

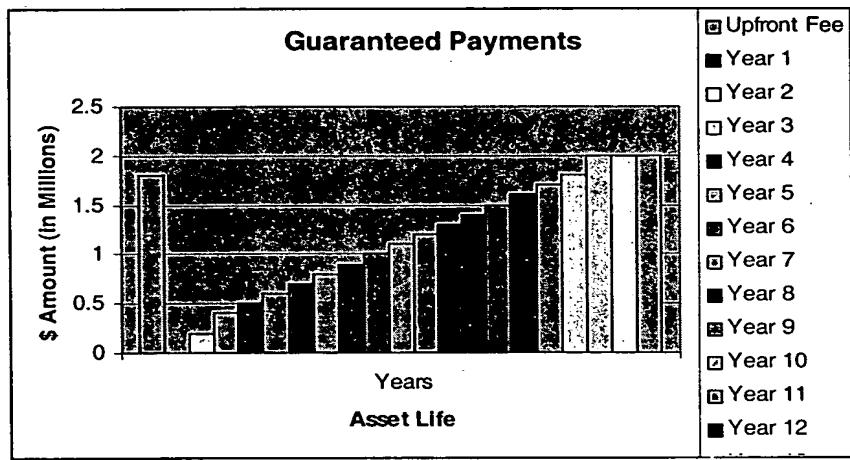
Fig. 18E

Fig. 19



%

\$



BACK

NEXT

Fig. 20

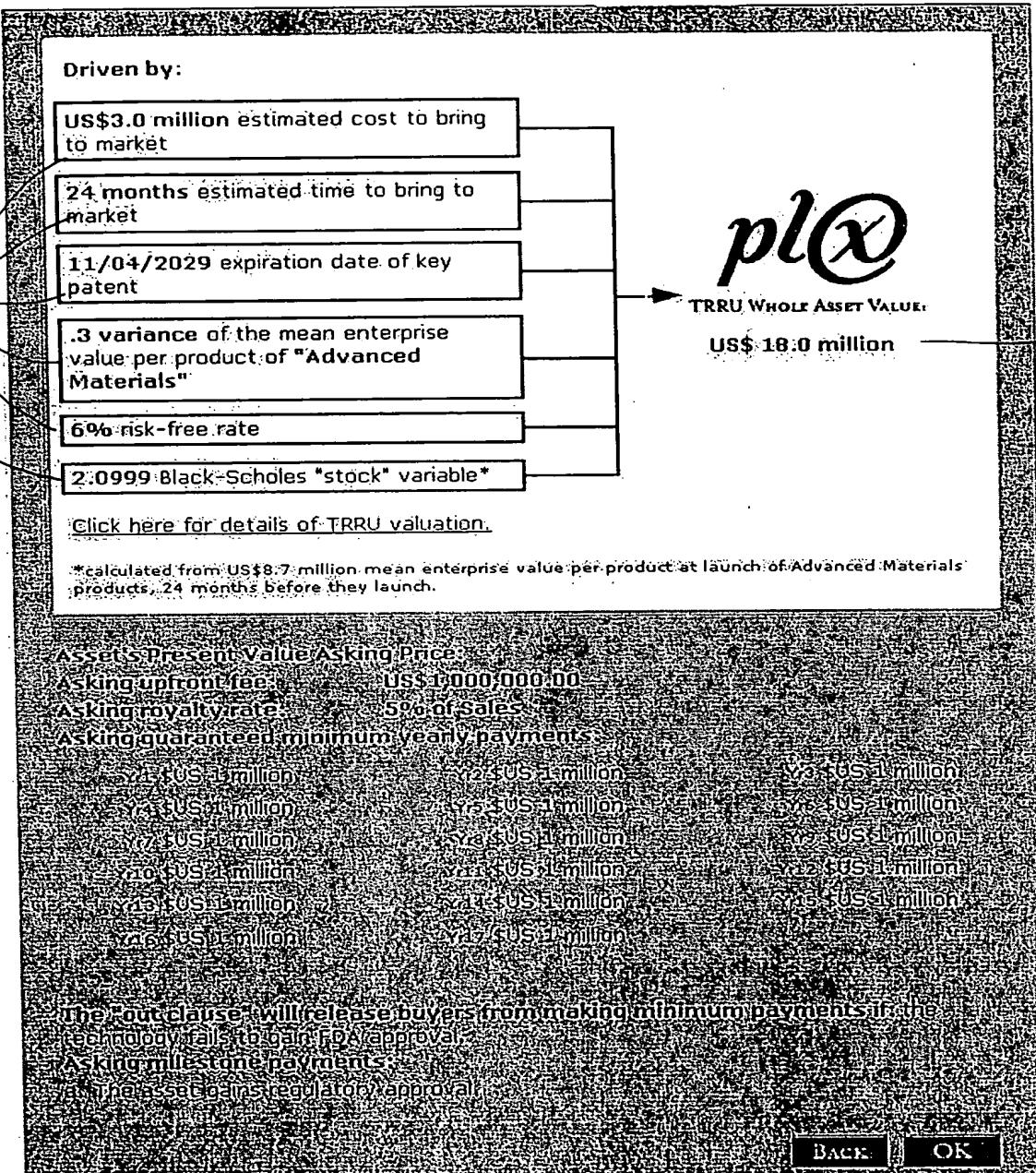


Fig. 21

BASIC INFO	LEGAL	CONDITIONS	TRRU	EFFICIENCIES	ATTRIBUTES	INVENTORY
<p>TRRU Whole Asset Value \$US 18 million</p> <p>Step 1: The responses to the questions below will be used to help calculate the suggested asking price (present value) for the license(s) you are offering on this asset. The value will either equal (or represent a portion of) the TRRU Whole Asset Value based on the sale of license of this asset.</p> <p>Do you intend to offer this technology for all fields of use or for a specific limited subset of use?</p> <p><input checked="" type="checkbox"/> Subset <input type="checkbox"/> All</p> <p>Approximate what fraction of the total potential market for this device does this subset represent? <input type="text"/></p> <p>Approximately, what % of licenses for this type, do you intend to offer? <input type="text"/></p> <p>Are you willing to put a cap on this number so buyers or licensees will have a clear understanding of how many licenses will be made available? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>The public.com suggested asking price (present value) for this license(s) you are offering on this asset is \$US 18 million which represents 100% of the TRRU Whole Asset Value.</p> <p>Step 2: Please choose one of the following three choices to set the asking price (present value) for the license(s) you are offering on this asset.</p> <p><input checked="" type="checkbox"/> Use the suggested asking price (present value)</p> <p><input type="checkbox"/> Use 25% of suggested asking price (present value) Enter percentage <input type="text"/></p> <p><input type="checkbox"/> Do not Use suggested asking price (present value)</p> <p>CALCULATE NEXT</p>						

Fig. 22